

# Simultaneous Calibration of Anomalous Electron Collision Frequency and Anomalous Electron Heating in a Hall Thruster Simulation

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Julian Lopez-Uricoechea<sup>1</sup>, Dan Lev<sup>2</sup>, Mitchell L. R. Walker<sup>3</sup>  
*Georgia Institute of Technology, Atlanta, GA, 30332, U.S.A*

Alejandro Lopez Ortega<sup>4</sup>  
*Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA, 91109, U.S.A*

**Abstract:** This paper presents a novel method of calibrating anomalous electron phenomena in fluid simulations of Hall thrusters. Specifically, this paper presents methods to calibrate the anomalous electron collision frequency,  $\nu_{ea}$ , with measurements of the azimuthal electron drift velocity,  $u_{e\theta}$ , and to calibrate the anomalous electron heating,  $Q_{ea}$ , with measurements of the electron temperature,  $T_e$ . These methods are implemented in the Hall2De simulation code with incoherent laser Thomson scattering measurements of the axial profiles  $u_{e\theta}$  and  $T_e$  along the channel centerline of a Hall thruster. Two primary simulations are considered, one that calibrates only  $\nu_{ea}$  and another that simultaneously calibrates  $\nu_{ea}$  and  $Q_{ea}$ . In addition, auxiliary simulations are calibrated using the uncertainty bounds of the measured  $u_{e\theta}$  and  $T_e$  axial profiles that produce corresponding uncertainties in the axial profiles of  $\nu_{ea}$  and  $Q_{ea}$ . We find that both primary simulations exhibit a sharp local minimum in  $\nu_{ea}$  at the axial location corresponding to the maximum of  $u_{e\theta}$ . It is found that uncertainties in  $u_{e\theta}$  produce large uncertainties in the downstream portion of the  $\nu_{ea}$  profile. However, when only uncertainties in  $T_e$  are considered, there is a measurable difference in the  $\nu_{ea}$  profiles between the two primary simulations. Lastly, while there is significant ambiguity in the calibrated profile of  $Q_{ea}$ , the calibrated profile shows significant deviation from the phenomenological model of  $Q_{ea}$  typically used in Hall thruster fluid simulations.

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<sup>1</sup> Graduate Research Assistant, School of Aerospace Engineering, [jlopezur3@gatech.edu](mailto:jlopezur3@gatech.edu)

<sup>2</sup> Research Engineer, School of Aerospace Engineering

<sup>3</sup> Professor and William R. T. Oakes, Jr. School Chair, School of Aerospace Engineering, [mitchell.walker@ae.gatech.edu](mailto:mitchell.walker@ae.gatech.edu)

<sup>4</sup> Technologist, Electric Propulsion Group



## I. Introduction

Hall effect thrusters (HETs) are the most common type of in-space propulsion [1]. However, the underlying physics behind HET operation is not understood well enough to enable fully predictive simulations with computational times required for design optimization. As a result, while HETs are widely used, costly experimental iterations on designs are still required to expand the operation envelope of HETs to different power levels or to alternative propellants. The lack of predictive capability in HET simulations has generally been attributed to anomalous electron transport across magnetic field lines, which is typically characterized by an anomalous electron collision frequency,  $\nu_{ea}$ . This introduces a closure problem for simulations that treat electrons as a fluid, as these simulations need an equation for the  $\nu_{ea}$  in terms of the other solution variables of the simulation. There have been several efforts to derive expressions for the  $\nu_{ea}$  [2-7], but it is necessary to expand on previous experimental validation efforts [3,5,8-10].

The initial step in the validation of these theories typically starts by calibrating a HET simulation with experimental measurements to produce a semi-empirical spatial distribution of  $\nu_{ea}$  [8,9,11]. Next, the plasma properties output by the simulation are combined with the theoretical closure to calculate a corresponding theoretical spatial distribution of  $\nu_{ea}$ , which is compared with the semi-empirical distribution [2,3]. Alternatively, closure models can be implemented self-consistently within simulations, and the resultant simulation can be compared to a calibrated simulation [9,10]. Calibrated HET simulations [8,9,11] typically use laser-induced fluorescence (LIF) measurements of the axial ion velocity,  $u_{iz}$ , but experimental inferences of the electron Hall parameter or  $\nu_{ea}$  can also be used [12-15]. However, incoherent laser Thomson scattering (ILTS) has measured peak electron temperatures significantly higher than those in calibrated simulations and Langmuir probe measurements [14,16,17]. This discrepancy has three important consequences. First, the calibrated profile of  $\nu_{ea}$  should depend on the calibrated profile of the electron temperature,  $T_e$ , due to the electron pressure gradient, so accurately calibrated profiles of  $\nu_{ea}$  require accurate profiles of  $T_e$ . Second, the theoretical closures may also depend on  $T_e$ , so validation of theoretical closures also requires accurate profiles of  $T_e$ . Third, these elevated electron temperatures introduce a second or third closure problem for anomalous electron heating,  $Q_{ea}$ , or for anomalous electron heat flux [15,18,19].

In this paper, we introduce a new way to calculate the anomalous electron collision frequency with an associated uncertainty that is based on combining ILTS measurements with Hall2De, a HET simulation software [20]. Specifically, we use ILTS measurements of the axial distributions of the azimuthal electron drift velocity,  $u_{e\theta}$ , and of  $T_e$  from Ref. 17. The measured  $u_{e\theta}$  axial profile along the channel centerline is used to calibrate  $\nu_{ea}$ , replacing the typical role of LIF measurements in calibrated simulations. The measured electron temperatures along the channel centerline are used to calibrate  $Q_{ea}$ . We chose to use a simulation instead of attempting to infer  $\nu_{ea}$  directly from the measurements to minimize the assumptions used to infer the axial profile of  $\nu_{ea}$  that corresponds to the ILTS measurements. Two primary simulations will be analyzed, one that only calibrates  $\nu_{ea}$  and one that simultaneously calibrates  $\nu_{ea}$  and  $Q_{ea}$ . Both calibrated simulations will be evaluated on their ability to reproduce the measured discharge current and the electron density profile measured by ILTS. The simulation that only calibrates  $\nu_{ea}$  will also be evaluated on its ability to reproduce the electron temperature axial profile measured by ILTS. Section II describes the simulations and how the ILTS measurements are used to calibrate  $\nu_{ea}$  and  $Q_{ea}$ . Section III presents the calibrated profiles of  $\nu_{ea}$  and  $Q_{ea}$ , compares the two primary simulations, and discusses the implications of the findings for methods used to validate theories of  $\nu_{ea}$  and  $Q_{ea}$ .

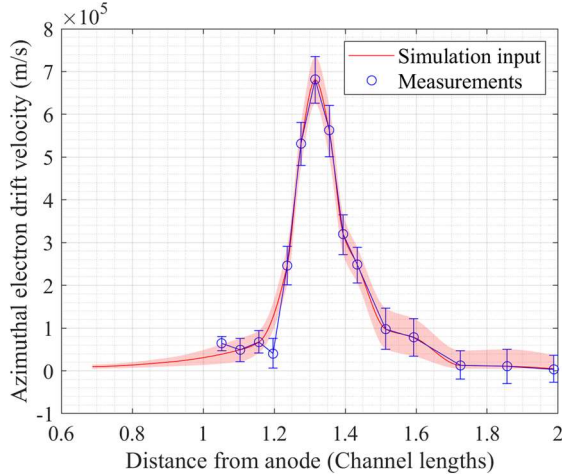


## II. Methodology

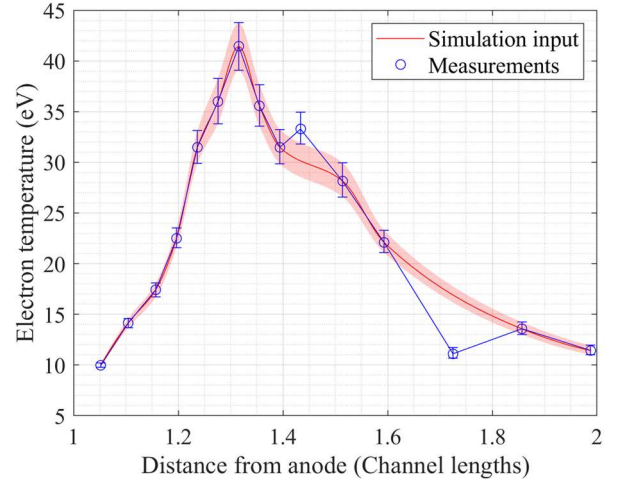
### A. Calibration Data

The ILTS measurements used for calibrating Hall2De in this work are taken from Ref. 17. The measurements were taken on the H9 HET at an operating condition of 171 V, 35 A, 87.5% of the nominal magnetic field strength, krypton as the propellant, and a background pressure of  $1.2 \times 10^{-5}$  Torr-Kr. The anode flow rate was 345 sccm, and the cathode flow rate was 23.7 sccm. A lower discharge voltage and the magnetic shielding of the H9 shift the acceleration downstream relative to nominal operating conditions on unshielded HETs, which in this particle case, shifted it entirely outside of the discharge channel. ILTS measurements have not been made inside the discharge channel of a HET, so the low discharge voltage enabled ILTS measurements across the acceleration region, which helps produce a more accurate calibrated simulation.

In interpolating the measurements, we omit certain points to smooth out the data input into Hall2De, as shown in Figs. 1 and 2. In addition, for  $u_{e\theta}$ , the data was also extrapolated upstream of the experimental domain. Such an extrapolation is qualitatively consistent with measurements of azimuthal electron current throughout the channel of a HET [21]. Lastly, to handle negative values of  $u_{e\theta}$  appearing in the lower bounds of the inferences, we set a lower bound for  $u_{e\theta}$  input values at 5 km/s.



**Figure 1.** ILTS measurements and values used as Hall2De inputs of the azimuthal electron drift velocity. The boundaries of the shaded area were also used as Hall2De inputs. For the x-axis, 1 channel length corresponds to the channel exit plane.



**Figure 2.** ILTS measurements and values used as Hall2De inputs of the electron temperature. The boundaries of the shaded area were also used as Hall2De inputs. For the x-axis, 1 channel length corresponds to the channel exit plane.

### B. Hall2De

Hall2De is a 2-D radial-axial (axisymmetric) HET simulation code developed at the Jet Propulsion Laboratory [20]. Hall2De models the electrons as a fluid, and the version of Hall2De used in this work models the ions as a fluid and uses a line-of-sight algorithm to model the neutral dynamics [20]. In addition, Hall2De employs a



magnetic field-aligned mesh, which allows Hall2De to investigate plasma and erosion physics in environments with a complex magnetic field topology. As such, Hall2De has played an important role in the lifetime qualification of the AEPS thruster, XR-5, SPT-140, and MaSMi [22-25]. The simulation parameters other than the operating condition described are described in Table 1. One fluid corresponds to ions born above the discriminating potential (relative to the cathode exit), while the other fluid corresponds to ions born below the discriminating potential. The Sagdeev coefficient is used to for  $v_{ea}$  in the cathode plume. In addition, to simulate the 171 V discharge voltage, we set the voltage difference between the anode and the cathode exit to 161 V [26]. We note that the discharge current is not specified because calibration with  $u_{e\theta}$  provides the magnitude of  $v_{ea}$ . This is in contrast with the calibrations done with the axial profile of  $u_{iz}$  that provide a normalized profile of  $v_{ea}$  that is then scaled to match a specified discharge current [8]. For the simulations that only calibrate  $v_{ea}$ , the timestep was set to 10 ns. When also calibrating for  $Q_{ea}$ , a timestep of 0.1 ns was used to prevent the simulation from immediately diverging.

**Table 1. Simulation parameters**

Parameter	Value
Number of ion fluids	2
Discriminating potential	50 V
Kr charge states	0,1,2,3
Sagdeev coefficient	10
Cathode ionization fraction	10 %
Cathode exit electron temperature	2 eV
Far field electron temperature	3 eV
Wall temperature	500 K

We ran one simulation that calibrates  $v_{ea}$  with measurements of  $u_{e\theta}$ , and we ran another simulation that simultaneously calibrates  $v_{ea}$  and  $Q_{ea}$  with measurements of  $u_{e\theta}$  and the electron temperature. To quantify uncertainty in the results from the calibrated simulations, we ran auxiliary simulations that used the boundaries of the shaded areas in Figs. 1 and 2 as inputs for Hall2De. We consider the inferred results to be from simulations 1 and 4, and the error bounds for the results from simulation 1 are taken from simulations 1-3, while the error bounds for the results from simulation 4 are taken from simulations 4-6. In this manner, the reported uncertainty for simulation 4 only accounts for the uncertainty in the electron temperature measurements.

**Table 2. Simulation inputs of the azimuthal electron drift velocities and electron temperatures from Figs. 1 and 2 used for each simulation. Lower bound and upper bound correspond to the lower and upper envelopes of the shaded regions in Figs. 1 and 2. Inference corresponds to the central curves within the shaded regions in Figs. 1 and 2.**

Simulation	$u_{e\theta}$ input	$T_e$ input
1	Inference	-
2	Lower bound	-
3	Upper bound	-
4	Inference	Inference
5	Inference	Lower bound
6	Inference	Upper bound



### C. Anomalous Collision Frequency Closure

The typical closure problem considered is that of  $\nu_{ea}$ . Once a closure for  $\nu_{ea}$  is specified, then the cross-field and azimuthal electron momentum equations can be solved for the cross-field electron drift velocity,  $u_{e\perp}$ , and  $u_{e\theta}$ , assuming that all the other plasma properties are known. Neglecting electron inertia and assuming only singly-charged ions, the cross-field electron momentum equation is

$$E_{\perp} = u_{e\theta}B - \frac{\nabla_{\perp}(n_e T_e)}{n_e} - \frac{m_e \nu_e u_{e\perp}}{e} + \frac{m_e \nu_{ei} u_{i\perp}}{e} \quad (1)$$

where  $\perp$  denotes the direction perpendicular to the magnetic field within the r-z plane,  $\vec{E}$  is the electric field,  $\vec{B}$  is the magnetic field,  $n_e$  is the electron density,  $m_e$  is the electron mass,  $e$  is the elementary charge,  $\nu_e$  is the total electron collision frequency,  $\nu_{ei}$  is the electron-ion momentum-transfer collision frequency, and  $\vec{u}_i$  is the ion velocity vector.  $\nu_e = \nu_{ec} + \nu_{ea}$ , where  $\nu_{ec}$  is the classical electron collision frequency. With the additional assumption of negligible ion azimuthal drift velocity, the axisymmetric azimuthal momentum equation can be written as

$$u_{e\theta} = -u_{e\perp}\Omega_e \quad (2)$$

where  $\Omega_e = \frac{\omega_{ce}}{\nu_e}$  is the electron Hall parameter and  $\omega_{ce}$  is the electron cyclotron frequency.

Given LTS measurements of  $u_{e\theta}$ , we iterate on the values of  $\nu_{ea}$  until the values of  $u_{e\theta}$  in Hall2De matches the measured values of  $u_{e\theta}$ ,  $u_{e\theta}^M$ . Specifically,  $\nu_{ea}$  along the channel centerline and within the experimental domain is iterated between timesteps  $i$  and  $i + 1$  using

$$\nu_{ea}^{i+1} = \nu_{ea}^i \exp \left[ -a \left( 1 - \frac{u_{e\theta}}{u_{e\theta}^M} \right) \right] \quad (3)$$

where  $0 < a < 1$  is parameter that can be changed depending on the simulation to ensure stability of solution and reduce oscillations in the simulation. In this work,  $a$  was set between 0.001 and 0.01. Because the ILTS measurements are time-averaged, we are not concerned with simulating temporal dynamics. In Eq. 3,  $u_{e\theta}$  is solved for using Eq. 2.

Outside the ILTS experimental domain, Eq. 2 is solved for  $u_{e\theta}$ , but a closure for  $\nu_{ea}$  is needed. For the closure for  $\nu_{ea}$ , we need to extrapolate values to downstream of the experimental domain, upstream of the experimental domain, and off-centerline.  $\Omega_{ea} = \frac{\omega_{ce}}{\nu_{ea}}$  decreases significantly in the downstream portion of the experimental domain, so for the downstream extrapolation, we set  $\Omega_{ea}$  as a constant and equal to the most downstream value of  $\Omega_{ea}$  within the experimental domain. On the other hand, prior to the extrapolated values of  $u_{e\theta}$  in Fig. 1,  $\Omega_{ea}$  does not decrease sufficiently, which is why we chose to use extrapolated values of  $u_{e\theta}$ . Further upstream of the extrapolated experimental domain, we set  $\Omega_{ea}$  as a constant and equal to the most upstream value of  $\Omega_{ea}$  within the extrapolated experimental domain. Given that the measurements in Fig. 1 capture a large portion of the upstream increase in  $u_{e\theta}$ , we consider the upstream extrapolation method described above to be more accurate than setting the anomalous Hall parameter constant upstream of the experimental domain. Lastly, for the off-centerline values of  $\nu_{ea}$ , we use the calculated values of  $\nu_{ea}$  along the channel centerline and assume that  $\Omega_{ea}$  is constant along magnetic field lines, an assumption that is commonly used in calibrated Hall2De simulations [8].

### D. Anomalous Heating Closure

A closure for anomalous electron heating,  $Q_{ea}$ , has not received as much attention as a closure for  $\nu_{ea}$  because fluid and hybrid HET simulations typically have fairly good agreement with Langmuir probe measurements of  $T_e$ ,



particularly regarding the peak  $T_e$  along the channel centerline. However, recent ILTS measurements report peak electron temperatures around twice as high as typical Langmuir probe measurements [14,16,17]. While the exact source of this large discrepancy is unclear, the non-invasive nature and simpler analysis of ILTS measurements reduce the ambiguity of ILTS measurements compared to Langmuir probe measurements. As a result, we will use ILTS measurements of electron temperature to provide an experimental closure of  $Q_{ea}$ , but we acknowledge that the discrepancy between ILTS and Langmuir probes should be further investigated.

The experimental closure for anomalous electron heating presented below will ensure that Hall2De outputs the measured electron temperatures along the channel centerline. This serves two purposes. First, the electron pressure gradient is in the electron momentum equation, so its axial profile affects the resultant axial profile of  $v_{ea}$  necessary to achieve a measured profile of  $u_{e\theta}$ . Consequently, an accurate axial profile of electron temperature is necessary to obtain an accurate axial profile of  $v_{ea}$ . Second, this will provide an empirical profile of the anomalous electron heating that can be used to validate theoretical closures [27].

To calculate the values of  $Q_{ea}$  required to produce the measured electron temperatures,  $T_{e,meas}$ , along the channel centerline, we consider the electron energy equation,

$$\frac{3}{2}en_e \frac{\partial T_{ev}}{\partial t} = \vec{E} \cdot \vec{j}_e + \nabla \cdot \left( \frac{5}{2}T_{ev}\vec{j}_e - \vec{q}_e \right) - \frac{3}{2}T_{ev}\nabla \cdot \vec{j}_e - \sum_s \Phi_s + Q_{el} + Q_{ea} \quad (4)$$

where  $\vec{j}_e$  is the electron current density vector,  $\vec{q}_e$  is the electron heat flux vector,  $\Phi_s$  is inelastic energy loss of electrons from collisions with species  $s$ , and  $Q_{el}$  is rate of energy exchanged between electron and the heavy species due to thermal equilibration. Eq. 4 is discretized, and  $Q_{ea}$  is calculated such that the electron temperature in the next timestep ( $i + 1$ ) is the measured electron temperature, using

$$Q_{ea} = \frac{3}{2}e[n_e]_i \frac{T_{e,meas} - [T_e]_i}{\Delta t} - \left[ \vec{E} \cdot \vec{j}_e + \nabla \cdot \left( \frac{5}{2}T_{ev}\vec{j}_e - \vec{q}_e \right) - \frac{3}{2}T_{ev}\nabla \cdot \vec{j}_e - \sum_s \Phi_s + Q_{el} \right]_i \quad (5)$$

where  $[ ]_i$  denotes discretization or evaluation at the  $i^{th}$  timestep. The  $Q_{ea}$  calculated with Eq. 5 is then used in the discretized form of the electron energy equation used in Hall2De.

However, it is important to note that Eq. 4 typically includes a phenomenological formulation of anomalous electron heating through the Joule heating term,  $\vec{E} \cdot \vec{j}_e$ . To illustrate this, we consider the instantaneous Ohm's Law and neglect the electron pressure gradient without loss of generality,

$$\vec{E} \approx \vec{u}_e \times \vec{B} + \eta_c \vec{j}_e \quad (6)$$

where we use the classical electron resistivity,  $\eta_c$ , because anomalous effects are predominantly time-averaged effects. Then, the instantaneous  $\vec{E} \cdot \vec{j}_e$  is given by

$$\vec{E} \cdot \vec{j}_e = \eta_c j_e^2. \quad (7)$$

However, in radial-axial fluid or hybrid simulations like Hall2De,  $\vec{E} \cdot \vec{j}_e$  is not computed as the full three-dimensional dot product, but as  $(\vec{E} \cdot \vec{j}_e)_{rz} = E_{\perp}j_{e\perp} + E_{\parallel}j_{e\parallel}$ . Using the instantaneous Ohm's law,

$$(\vec{E} \cdot \vec{j}_e)_{rz} = j_{e\perp}u_{e\theta}B + \eta_c(j_{e\perp}^2 + j_{e\parallel}^2) \quad (8)$$

which includes a non-zero magnetic work term. Using Eq. 2, we can rewrite  $j_{e\perp}u_{e\theta}B = (\eta_c + \eta_a)j_{e\theta}^2$  such that





$$(\vec{E} \cdot \vec{j}_e)_{rz} = \eta_c j_e^2 + \eta_a j_{e\theta}^2. \quad (9)$$

As a result, axial-radial fluid simulations typically include a phenomenological anomalous electron heating term of  $Q_{ea} = \eta_a j_{e\theta}^2$ , which is the dominant term in  $\vec{E} \cdot \vec{j}_e$ . Hall2De also has an additional heating term of  $\eta_a j_{e\perp}^2$  for a total phenomenological anomalous electron heating of  $Q_{ea} = \eta_a (j_{e\theta}^2 + j_{e\perp}^2)$ . We are interested in calculating the true anomalous electron heating, not just that in addition to a phenomenological term, so we use  $\vec{E} \cdot \vec{j}_e = (\vec{E} \cdot \vec{j}_e)_{rz} - \eta_a (j_{e\theta}^2 + j_{e\perp}^2)$  when calculating the Joule heating term in Eq. 5. We also note that because HET simulations have historically used this phenomenological heating term that has little physical basis, the fact that Langmuir probe measurements of electron temperature agree well with simulations has reduced significance. However, we note that a recent theory for  $Q_{ea}$  suggests that  $Q_{ea}$  is proportional to  $\eta_a j_{e\theta}^2$  [27], so  $\eta_a j_{e\theta}^2$  or  $\eta_a (j_{e\theta}^2 + j_{e\perp}^2)$  can be used as reference values for  $Q_{ea}$ .

Regarding the physical origin of  $Q_{ea}$ , the above formulation of  $\vec{E} \cdot \vec{j}_e + Q_{ea}$  is consistent with treating  $Q_{ea}$  as the result of correlated azimuthal fluctuations of  $\vec{E}$  and  $\vec{j}_e$ . Specifically, we can write the azimuthally averaged Joule heating as

$$\vec{E} \cdot \vec{j}_e = (\vec{E} \cdot \vec{j}_e)_{rz} + \langle E_\theta j_{e\theta} \rangle_\theta \quad (10)$$

where  $\langle E_\theta j_{e\theta} \rangle_\theta$  is the azimuthal correlation of azimuthal fluctuations in  $E_\theta$  and  $j_{e\theta}$ .  $\langle E_\theta j_{e\theta} \rangle_\theta$  can be decomposed into  $-e \langle u_{e\theta} \rangle_\theta \langle \delta E_\theta \delta n_e \rangle_\theta - e \langle n_e \rangle_\theta \langle \delta E_\theta \delta u_{e\theta} \rangle_\theta - e \langle \delta E_\theta \delta n_e \delta u_{e\theta} \rangle_\theta$ , where  $\langle \rangle_\theta$  denotes the azimuthal average of a property and  $\delta$  denotes the deviation of a property from its azimuthal average. In addition, it is well understood that correlated azimuthal fluctuations are the predominant cause of  $v_{ea}$  [2,3], such that  $\langle E_\theta \delta n_e \rangle_\theta = -\eta_a n_e j_{e\theta}$ . The azimuthally averaged Joule heating can then be written as

$$\vec{E} \cdot \vec{j}_e = (\vec{E} \cdot \vec{j}_e)_{rz} - \eta_a j_{e\theta}^2 - e n_e \langle \delta E_\theta \delta u_{e\theta} \rangle_\theta - e \langle \delta E_\theta \delta n_e \delta u_{e\theta} \rangle_\theta \quad (11)$$

Therefore, the formulation of  $Q_{ea}$  used in this work corresponds to the definition of  $Q_{ea} = -e n_e \langle \delta E_\theta \delta u_{e\theta} \rangle_\theta - e \langle \delta E_\theta \delta n_e \delta u_{e\theta} \rangle_\theta$ .

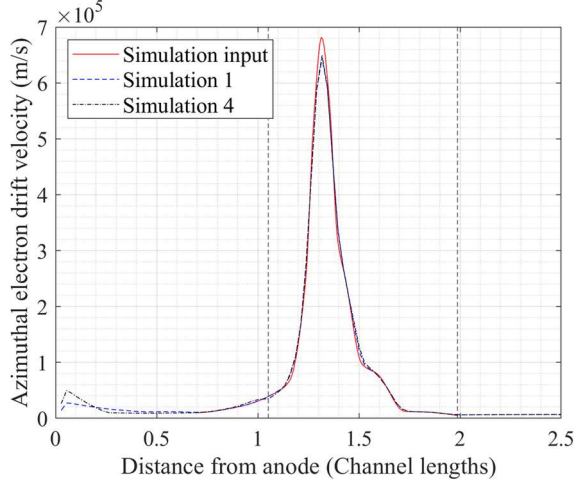
To calculate  $Q_{ea}$  outside of the experimental domain, we use analogous extrapolation methods to those used for  $v_{ea}$ . For the downstream extrapolation, we set  $\frac{Q_{ea}}{\eta_a (j_{e\theta}^2 + j_{e\perp}^2)}$  as a constant and equal to the most downstream value of  $\frac{Q_{ea}}{\eta_a (j_{e\theta}^2 + j_{e\perp}^2)}$  in the experimental domain. For the upstream extrapolation, we set  $\frac{Q_{ea}}{\eta_a (j_{e\theta}^2 + j_{e\perp}^2)}$  as a constant and equal to the most upstream value of  $\frac{Q_{ea}}{\eta_a (j_{e\theta}^2 + j_{e\perp}^2)}$  in the experimental domain. For the off-centerline extrapolation, we assume that  $\frac{Q_{ea}}{\eta_a (j_{e\theta}^2 + j_{e\perp}^2)}$  is constant along magnetic field lines.

Lastly, similar to how the pressure gradient affects the calculated  $v_{ea}$ , the divergence of the electron heat flux,  $\vec{q}_e$ , affects the calculated  $Q_{ea}$ . In addition, ILTS measurements of non-isothermal field lines indicate that the typical conductive electron heat fluxes used in HET fluid simulations may need to be modified [19,28,29]. However, in the absence of a known closure for the heat fluxes parallel and perpendicular to the magnetic field, we will still use the typical electron heat fluxes used in Hall2De. Therefore, there will be significant ambiguity in the presented values of  $Q_{ea}$  and future work will investigate how the calibrated  $Q_{ea}$  axial profile depends on the heat flux closure.

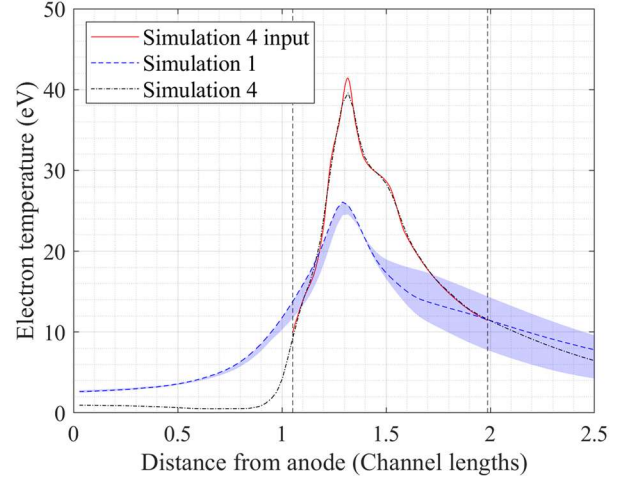


### III. Results and Discussion

In this section, we present, evaluate, and compare the results of two primary simulations, one calibrated on only  $v_{ea}$  and another calibrated on both  $v_{ea}$  and  $Q_{ea}$ . Specifically, we start by comparing the profiles of  $v_{ea}$  of both simulations and present the calibrated profile of  $Q_{ea}$ . For both simulations, we compare the calibrated axial profiles of electron density and the calibrated discharge current with measured values. For the simulation that only calibrates  $v_{ea}$ , we also compare the calibrated electron temperature axial profile to measured values.



**Figure 3. Axial profile of azimuthal electron drift velocity along the channel centerline for the same simulation input of simulations 1 and 4 and for the results of simulations 1 and 4 without their corresponding uncertainty. Vertical dashed lines denote the experimental domain.**



**Figure 4. Axial profile of electron temperature along the channel centerline for the simulation 4 input, simulation 1 with uncertainty, and simulation 4 without uncertainty. Vertical dashed lines denote the experimental domain.**

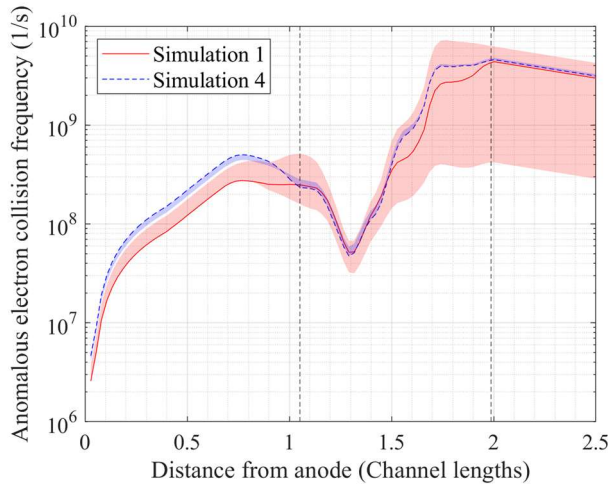
Figures 3 and 4 confirm that the calibrated simulations match the input values for  $u_{e\theta}$  and  $T_e$  and also show how  $u_{e\theta}$  and  $T_e$  vary outside of the experimental domain. Figure 4 also shows that peak electron temperature measured with ILTS is around 50% higher than that predicted by the simulations that only calibrate  $v_{ea}$ . However, elsewhere in the axial profile, the simulations predict electron temperatures higher than the measured values; while this could be attributed to an inaccurate model of the electron heat flux, it could also be caused by an axial variation in  $\frac{Q_{ea}}{\eta_a(j_{e\theta}^2 + j_{e\perp}^2)}$ . In addition, the peak electron temperatures of simulations 1-3 are around 15% of the discharge voltage, similar to what has typically been found in fluid simulations and Langmuir probe measurements of magnetically shielded HETs [30]. While the discrepancy between HET simulations and ILTS measurements can be explained by alternative models of anomalous electron heating, further investigation is needed to determine whether the discrepancy between ILTS and Langmuir probes are due to perturbation of the discharge, inaccurate sheath models used in the analysis of Langmuir probe measurements, or another phenomenon. For example, while simultaneous LIF and Langmuir probe measurements have shown perturbation of a HET discharge by a Langmuir probe, simultaneous ILTS and Langmuir probe measurements should be carried out to determine if perturbations alone can explain the discrepancy in electron temperature measurements. Furthermore, Ref. [31] showed that an alternative analysis of raw Langmuir probe



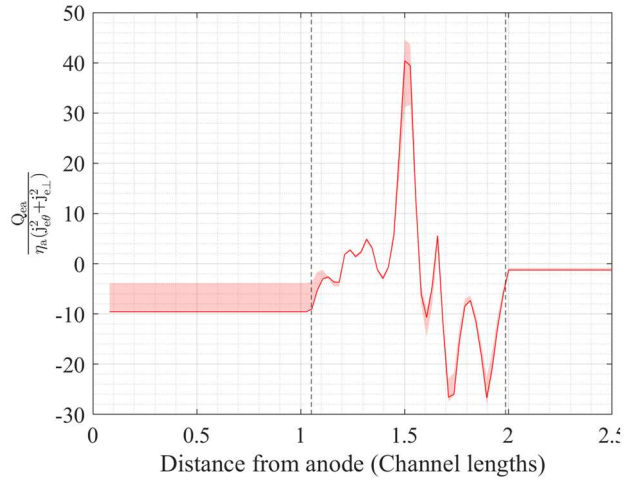


measurements can result in electron temperature measurements similar to those of ILTS, but the validity of such an analysis needs to be investigated.

Fig. 5 demonstrates that the calibrated profiles of  $\nu_{ea}$  of both primary simulations exhibit a sharp local minimum in  $\nu_{ea}$  in the acceleration region. This is in contrast with previous suggestions that high electron temperatures could suppress the sharp local minimum in  $\nu_{ea}$  [14,19]. Interestingly, simulation 4 that calibrates  $Q_{ea}$  has a sharper local minimum in  $\nu_{ea}$  than simulation 1. In addition, the profile of  $\nu_{ea}$  for simulation 1 exhibits large uncertainty further downstream, which is attributed to the simulation that uses the upper bound of the  $u_{e\theta}$  measurements. Even in the regions with lower uncertainty in  $\nu_{ea}$ , the error bounds of calibrated profiles of  $\nu_{ea}$  overlap for the two simulations, indicating no significant change in  $\nu_{ea}$  between the simulations. However, the measured peak electron temperature is only 50% higher than that predicted by simulation 1, so it is possible that other discharge conditions where this difference is larger could have significant deviations in  $\nu_{ea}$  depending on whether  $Q_{ea}$  is calibrated. It is interesting to note that calibrated  $\nu_{ea}$  profiles from LIF measurements exhibit large uncertainties in the upstream portion of the profile [8,32], while  $\nu_{ea}$  from ILTS measurements exhibit large uncertainties in the downstream portion of the profile. This suggests that, if used together, LIF and ILTS measurements could provide low uncertainty calibrated profiles of  $\nu_{ea}$ , provided that ILTS measurements can access the upstream portion of the acceleration region.



**Figure 5.** Axial profile of the anomalous electron collision frequency along the channel centerline for simulations 1 and 4 with all their associated uncertainty. Vertical dashed lines denote the experimental domain.



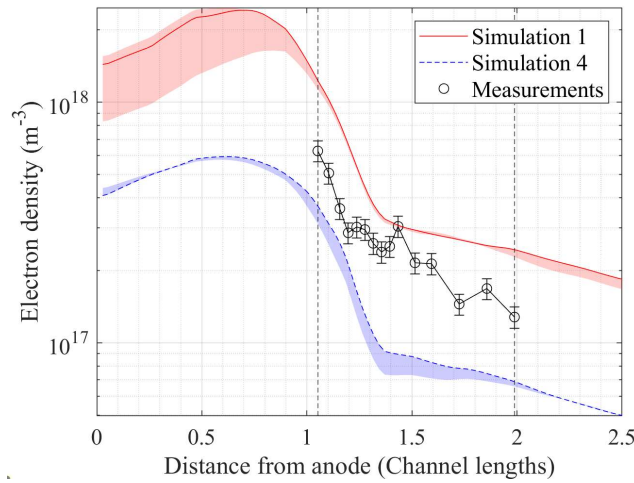
**Figure 6.** Axial profile along the channel centerline of  $\frac{Q_{ea}}{\eta_a(j_{e\theta}^2 + j_{e\perp}^2)}$  for simulation 4 with the corresponding uncertainty. Vertical dashed lines denote the experimental domain.

Another way of evaluating the significance of calibrating  $Q_{ea}$  on the profile of  $\nu_{ea}$  is to compare simulations 1 and 4 without accounting for the uncertainty in  $u_{e\theta}$ . Figure 5 demonstrates that if only the uncertainty due to electron temperature measurements is accounted for, then there is a measurable difference in the axial profile of  $\nu_{ea}$ , depending on whether  $Q_{ea}$  is calibrated. Consequently, if low uncertainty inferences of  $\nu_{ea}$  are possible, then a simultaneous calibration of  $Q_{ea}$  is required for an accurate profile of  $\nu_{ea}$ . Therefore, when validating theories of anomalous electron



transport with calibrated profiles  $v_{ea}$ , it may be important to ensure that the calibrated simulation also has accurate calibrated electron temperatures.

The calibrated profile of  $\frac{Q_{ea}}{\eta_a(j_{e\theta}^2 + j_{e\perp}^2)}$  shows a few interesting features. For reference, we note that when  $Q_{ea}$  is not calibrated,  $\frac{Q_{ea}}{\eta_a(j_{e\theta}^2 + j_{e\perp}^2)} = 1$ . First and unsurprisingly,  $\frac{Q_{ea}}{\eta_a(j_{e\theta}^2 + j_{e\perp}^2)}$  has a local maximum at the location of the peak electron temperature. Second, at this local maximum,  $\frac{Q_{ea}}{\eta_a(j_{e\theta}^2 + j_{e\perp}^2)}$  is around 4.5, which reflects the fact that simulation 1 underpredicts the peak electron temperature with respect to the ILTS measurements. Third, the largest peak occurs at an axial location of 1.5 channel lengths from the anode, which in Fig. 4 corresponds to where the slope of the electron temperature profile briefly increases while  $T_e$  is decreasing. Interestingly,  $Q_{ea}$  is negative for a significant portion of the profile. However, we reiterate that the ambiguity of the correct electron heat flux model creates significant ambiguity in the presented profile of  $\frac{Q_{ea}}{\eta_a(j_{e\theta}^2 + j_{e\perp}^2)}$ . In addition, due to isothermal field lines, the profile of  $Q_{ea}$  along the channel centerline depends on the extrapolation of  $Q_{ea}$  along the field lines. Therefore, the ambiguity of how  $Q_{ea}$  varies along magnetic field lines further increases the ambiguity in the profile presented in Fig. 6. To gain insight into the spatial distribution of  $Q_{ea}$ , kinetic simulations of HETs should calculate the  $Q_{ea}$  produced by correlations of turbulent fluctuations, similar to what has been done for  $v_{ea}$  [33].



**Figure 7. Axial profile of electron density along the channel centerline for simulations 1 and 4 with corresponding uncertainty and for ILTS measurements. Vertical dashed lines denote the experimental domain.**

Table 3 shows that the simulation that only calibrates  $v_{ea}$  results in a calibrated discharge current significantly closer to the measured value than the simulation that calibrates both  $v_{ea}$  and  $Q_{ea}$ . Specifically, simulation 1 has a calibrated discharge current of  $30.0 + 2.7 / - 5.7$  A, and simulation 4 has a calibrated discharge current of  $8.7 + 0.3 / - 0.7$  A. However, because the discharge current is predominantly the thermal electron flux through the anode sheath, the discharge current depends on the electron density and temperature near the anode, which in turn depends on the methods used to extrapolate  $v_{ea}$  and  $Q_{ea}$  upstream of the experimental domain. It can be seen in Fig. 4 that the



upstream extrapolation of  $Q_{ea}$  results in a noticeable difference in the upstream electron temperature between simulations 1 and 4, which partially explains the lower discharge current for simulation 4. In addition, the discharge current also depends on the values of the electron density and temperature off centerline, so the discharge current should also depend on the extrapolation of  $v_{ea}$  and  $Q_{ea}$  along magnetic field lines. Therefore, because the methods used to extrapolate  $v_{ea}$  and  $Q_{ea}$  have not been validated, the calibrated values of discharge current have some ambiguity and may not be the best way to evaluate the calibrated simulations.

**Table 3. Calibrated discharge current of each simulation**

Simulation	Discharge current (A)
1	30.0
2	32.7
3	24.3
4	8.7
5	8.0
6	9.0

However, evaluating the calibrated simulations with the calibrated axial profiles of the electron density is also ambiguous. Figure 7 shows that simulation 1 overpredicts the electron density with respect to ILTS measurements, while simulation 4 underpredicts the electron density. While simulation 4 converged, we observed that as the discharge current dropped, so did the electron density, which is expected. However, this indicates that the inaccuracies in the extrapolations of  $v_{ea}$  and  $Q_{ea}$  to outside the experimental domain also affect the calibrated axial profile of the electron density. Therefore, to enable accurate independent validations of calibrated simulations via comparison with the measured discharge current or electron density profile, accurate extrapolations of  $v_{ea}$  and  $Q_{ea}$  are needed upstream and downstream of the experimental domain and along magnetic field lines.

#### IV. Conclusion

Simultaneous calibration of  $v_{ea}$  and  $Q_{ea}$  demonstrates that while the higher electron temperatures measured by ILTS do not significantly alter the general characteristics of the axial profile of  $v_{ea}$ , if low uncertainty inferences of  $v_{ea}$  are made, then an accurate electron temperature profile is necessary to ensure an accurate inference of  $v_{ea}$ . This adds some ambiguity to the validations of theories of  $v_{ea}$  with calibrated simulations that do not produce an accurate axial profile of electron temperature. In addition, the regions with the highest uncertainty in the profile of  $v_{ea}$  when calibrated within  $u_{e\theta}$  suggest that ILTS and LIF can be combined to produce profiles of  $v_{ea}$  with relatively low uncertainty throughout the acceleration region. While we have produced a calibrated profile of  $Q_{ea}$  that shows a significant deviation from the phenomenological model of  $Q_{ea}$ , future work is planned for evaluating how this calibrated profile depends on the electron heat flux model. Regarding the evaluation of the calibrated simulation with independent measurements of the discharge current and electron density, these evaluations are strongly dependent on the unvalidated extrapolations of  $v_{ea}$  and  $Q_{ea}$  to outside the experimental domain. As a result, improvement of the extrapolation methods is essential to increasing the accuracy of the calibrated simulations.



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